

General Assembly

Amendment

January Session, 2017

LCO No. 7513



Offered by:

SEN. LEONE, 27th Dist. REP. BARAM, 15th Dist.

To: Subst. Senate Bill No. 826

File No. 206

Cal. No. 130

"AN ACT MAKING CHANGES TO DEPARTMENT OF CONSUMER PROTECTION STATUTES."

- 1 Strike everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. Section 21a-7 of the general statutes is repealed and the
- 4 following is substituted in lieu thereof (*Effective July 1, 2017*):
- 5 (a) Each board or commission within the Department of Consumer
- 6 Protection under section 21a-6 shall have the following powers and
- 7 duties:
- 8 (1) Each board or commission shall exercise its statutory functions,
- 9 including licensing, certification, registration, accreditation of schools
- and the rendering of findings, orders and adjudications. [Any] With
- 11 the exception of the Liquor Control Commission, any exercise of such
- 12 functions by such a board or commission that is adverse to a party
- shall be a proposed decision and subject to approval, modification or

rejection by the commissioner.

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(2) Each board or commission may, in its discretion, issue (A) an appropriate order to any person found to be violating an applicable statute or regulation providing for the immediate discontinuance of the violation, (B) an order requiring the violator to make restitution for any damage caused by the violation, or (C) both. Each board or commission may, through the Attorney General, petition the superior court for the judicial district wherein the violation occurred, or wherein the person committing the violation resides or transacts business, for the enforcement of any order issued by it and for appropriate temporary relief or a restraining order and shall certify and file in the court a transcript of the entire record of the hearing or hearings, including all testimony upon which such order was made and the findings and orders made by the board or commission. The court may grant such relief by injunction or otherwise, including temporary relief, as it deems equitable and may make and enter a decree enforcing, modifying and enforcing as so modified, or setting aside, in whole or in part, any order of a board or commission.

- (3) Each board or commission may conduct hearings on any matter within its statutory jurisdiction. Such hearings shall be conducted in accordance with chapter 54 and the regulations established pursuant to subsection (a) of section 21a-9. In connection with any such hearing, the board or commission may administer oaths, issue subpoenas, compel testimony and order the production of books, records and documents. If any person refuses to appear, testify or produce any book, record or document when so ordered, a judge of the Superior Court may make such order as may be appropriate to aid in the enforcement of this section.
- (4) Each board or commission may request the Commissioner of Consumer Protection to conduct an investigation and to make findings and recommendations regarding any matter within the statutory jurisdiction of the board or commission.

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(5) Each board or commission may recommend rules and regulations for adoption by the Commissioner of Consumer Protection and may review and comment upon proposed rules and regulations prior to their adoption by said commissioner.

- (6) Each board or commission shall meet at least once in each quarter of a calendar year and at such other times as the chairperson or the Commissioner of Consumer Protection deems necessary. A majority of the members shall constitute a quorum, except that for any examining board, forty per cent of the members shall constitute a quorum. Any member who fails to attend three consecutive meetings or who fails to attend fifty per cent of all meetings during any calendar year shall be deemed to have resigned from office. Members of boards or commissions shall not serve for more than two consecutive full terms which commence on or after July 1, 1982, except that if no successor has been appointed or approved, such member shall continue to serve until a successor is appointed or approved. Members shall not be compensated for their services but shall be reimbursed for necessary expenses incurred in the performance of their duties.
- (7) In addition to any other action permitted under the general statutes, each board or commission may, upon a finding of any cause specified in subsection (c) of section 21a-9: (A) Revoke or suspend a license, registration or certificate; (B) issue a letter of reprimand to a practitioner and send a copy of such letter to a complainant or to a state or local official; (C) place a practitioner on probationary status and require the practitioner to (i) report regularly to the board or commission on the matter which is the basis for probation, (ii) limit the practitioner's practice to areas prescribed by the board or commission, or (iii) continue or renew the practitioner's education until the practitioner has attained a satisfactory level of competence in any area which is the basis for probation. Each board or commission may discontinue, suspend or rescind any action taken under this subsection.
- (8) Each examining board within the Department of Consumer

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79 Protection or the Commissioner of Consumer Protection shall conduct 80 any hearing or other action required for an application submitted 81 pursuant to section 20-333 and any completed renewal application 82 submitted pursuant to section 20-335 not later than (A) thirty days 83 after the date of submission for such application or completed renewal 84 application, as applicable, or (B) a period of time deemed appropriate 85 by the Commissioner of Consumer Protection, but not to exceed sixty 86 days after such date of submission.

- 87 (b) [Each] With the exception of the Liquor Control Commission, 88 each board or commission within the Department of Consumer 89 Protection under section 21a-6 that makes a proposed final decision 90 that is adverse to a party as described in subdivision (1) of subsection 91 (a) of this section, shall submit such proposed decision to the 92 Commissioner of Consumer Protection. Not later than thirty calendar 93 days after receipt of any such proposed decision, the Commissioner of 94 Consumer Protection shall notify such board or commission that the 95 commissioner shall render the final decision concerning such matter. 96 Not later than thirty days after receipt of any such proposed decision, 97 the commissioner shall approve, modify or reject the proposed 98 decision or remand the proposed decision for further review or for the 99 taking of additional evidence. The commissioner shall notify the board 100 or commission in writing of the commissioner's decision and include 101 in such notification the rationale for such decision. The decision of the 102 commissioner shall be the final decision in accordance with section 4-103 180 for purposes of reconsideration in accordance with section 4-181a 104 or appeal to the Superior Court in accordance with section 4-183.
- Sec. 2. Subsection (a) of section 21a-8 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2017):
- (a) The Department of Consumer Protection shall have the following powers and duties with regard to each board or commission transferred to the Department of Consumer Protection under section 21a-6, except for the Liquor Control Commission:

112 (1) The department shall control the allocation, disbursement and 113 budgeting of funds appropriated to the department for the operation 114 of each board or commission transferred to said department.

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- (2) The department shall employ and assign such personnel as the commissioner deems necessary for the performance of each board's or commission's functions.
- (3) The department shall perform all management functions, including purchasing, bookkeeping, accounting, payroll, secretarial, clerical, record-keeping and routine housekeeping functions.
- 121 (4) The department shall conduct any necessary review, inspection 122 or investigation regarding qualifications of applicants for licenses or 123 certificates, possible violations of statutes or regulations, accreditation 124 of schools, disciplinary matters and the establishment of regulatory 125 policy, and make recommendations to the appropriate board or 126 commission. In connection with any such investigation, the 127 Commissioner of Consumer Protection, or the commissioner's 128 authorized agent, may administer oaths, issue subpoenas, compel 129 testimony and order the production of books, records and documents. 130 If any person refuses to appear, to testify or to produce any book, 131 record or document when so ordered, a judge of the Superior Court 132 may make such order as may be appropriate to aid in the enforcement 133 of this section.
 - (5) The department shall administer any examinations necessary to ascertain the qualifications of applicants for licenses or certificates and shall issue licenses or certificates to qualified applicants. The department shall maintain rosters of licensees or registrants and update such rosters annually, and may provide copies of such rosters to the public for an appropriate fee.
- 140 (6) The department shall conduct any necessary investigation and 141 follow-up in connection with complaints regarding persons subject to 142 regulation or licensing by the board or commission.

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143 (7) The department shall perform any other function necessary to 144 the effective operation of the board or commission.

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(8) The department shall receive complaints concerning the work and practices of persons licensed, registered or certified by such boards or commissions and shall receive complaints concerning unauthorized work and practice by persons not licensed, registered or certified by such boards or commissions. The department shall distribute quarterly a list of all complaints received within the previous quarter to the chairperson of the appropriate board or commission. The department shall screen all complaints and dismiss any in which the allegation, if substantiated, would not constitute a violation of any statute or regulation. The department shall distribute notice of all such dismissals monthly to the chairperson of the appropriate board or commission. The department shall investigate any complaint in which the allegation, if substantiated, would constitute a violation of a statute or regulation under its jurisdiction. In conducting the investigation, the commissioner may seek the assistance of a member of the appropriate board, an employee of any state agency with expertise in the area, or if no such member or employee is available, a person from outside state service licensed to perform the work involved in the complaint. Board or commission members involved in an investigation shall not participate in disciplinary proceedings resulting from such investigation. The Commissioner of Consumer Protection may dismiss a complaint following an investigation if the commissioner determines that such complaint lacks probable cause. The commissioner may bring a complaint before the appropriate board or commission for a formal hearing if the commissioner determines that there is probable cause to believe that the offense alleged in the complaint has been committed and that the practitioner named in the complaint was responsible. The commissioner, or the commissioner's authorized agent, shall have the power to issue subpoenas to require the attendance of witnesses or the production of records, correspondence, documents or other evidence in connection with any hearing of a board or commission.

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(9) The department may contract with a third party, if the commissioner deems it necessary, to administer licensing examinations and perform all attendant administrative functions in connection with such examination and to monitor continuing professional education requirements, and may require the payment of a fee to such third party.

- Sec. 3. Subsection (a) of section 30-17 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2017):
- 186 (a) (1) A wholesaler permit shall allow the bottling of alcoholic 187 liquor and the wholesale sale of alcoholic liquor to permittees in this 188 state and without the state, as may be permitted by law, and the sale of 189 alcoholic liquors to vessels engaged in coastwise or foreign commerce, 190 and the sale of alcohol and alcoholic liquor for industrial purposes to 191 nonpermittees, such sales to be made in accordance with the 192 regulations adopted by the Department of Consumer Protection, and 193 the sale of alcohol and alcoholic liquor for medicinal purposes to 194 hospitals and charitable institutions and to religious organizations for 195 sacramental purposes and the receipt from out-of-state shippers of multiple packages of alcoholic liquor. The holder of a wholesaler 196 197 permit may apply for and shall thereupon receive an out-of-state 198 shipper's permit for direct importation from abroad of alcoholic 199 liquors manufactured outside the United States and an out-of-state 200 shipper's permit for direct importation from abroad of beer 201 manufactured outside the United States. The annual fee for a 202 wholesaler permit shall be two thousand six hundred fifty dollars.
 - (2) When a holder of a wholesaler permit has had the distributorship of any alcohol, beer, spirits or wine product of a manufacturer or out-of-state shipper for six months or more, such distributorship may be terminated or its geographic territory diminished upon (A) the execution of a written stipulation by the wholesaler and manufacturer or out-of-state shipper agreeing to the change and the approval of such change by the Department of

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Consumer Protection; or (B) the sending of a written notice by certified or registered mail, return receipt requested, by the manufacturer or out-of-state shipper to the wholesaler, a copy of which notice has been sent simultaneously by certified or registered mail, return receipt requested, to the Department of Consumer Protection. No such termination or diminishment shall become effective except for just and sufficient cause, provided such cause shall be set forth in such notice and the Department of Consumer Protection shall determine, after hearing, that just and sufficient cause exists. If an emergency occurs, caused by the wholesaler, prior to such hearing, which threatens the manufacturers' or out-of-state shippers' products or otherwise endangers the business of the manufacturer or out-of-state shipper and said emergency is established to the satisfaction of the Department of Consumer Protection, the department may temporarily suspend such wholesaler permit or take whatever reasonable action the department deems advisable to provide for such emergency and the department may continue such temporary action until its decision after a full hearing. The Department of Consumer Protection shall render its decision with reasonable promptness following such hearing. Notwithstanding the aforesaid, a manufacturer or out-of-state shipper may appoint one or more additional wholesalers as the distributor for an alcohol, spirits or wine product within such territory, provided such appointment shall not be effective until six months from the date such manufacturer or out-of-state shipper sets forth such intention in written notice to the existing wholesaler by certified or registered mail, return receipt requested, with a copy of such notice simultaneously sent by certified or registered mail, return receipt requested, to the Department of Consumer Protection. For just and sufficient cause, a manufacturer or out-of-state shipper may appoint one or more additional wholesalers as the distributor for a beer product within such territory provided such manufacturer or out-of-state shipper sets forth such intention and cause in written notice to the existing wholesaler by certified or registered mail, return receipt requested, with a copy of such notice simultaneously sent by certified or registered mail, return receipt requested, to the Department of

Consumer Protection. For the purposes of this section, "just and 245 246 sufficient cause" means the existence of circumstances which, in the 247 opinion of a reasonable person considering all of the equities of both 248 the wholesaler and the manufacturer or out-of-state shipper warrants a 249 termination or a diminishment of a distributorship as the case may be. 250 For the purposes of this section, "manufacturer or out-of-state shipper" 251 means the manufacturer or out-of-state shipper who originally granted 252 a distributorship of any alcohol, beer, spirits or wine product to a 253 wholesaler, any successor to such manufacturer or out-of-state shipper, 254 which successor has assumed the contractual relationship with such 255 wholesaler by assignment or otherwise, or any other manufacturer or 256 out-of-state shipper who acquires the right to ship such alcohol, beer, 257 spirits or wine into the state.

(3) Nothing contained herein shall be construed to interfere with the authority of the Department of Consumer Protection to retain or adopt reasonable regulations concerning the termination or diminishment of a distributorship held by a wholesaler for less than six months.

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- 262 (4) All hearings held hereunder shall be held in accordance with the provisions of chapter 54.
- Sec. 4. Section 20-312 of the general statutes, as amended by section 103 of public act 16-97, is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2017):
- (a) No person shall act as a real estate broker or real estate salesperson without a license issued by the commission or the Commissioner of Consumer Protection, unless exempt under this chapter. The Commissioner of Consumer Protection may enter into any contract for the purpose of administratively processing the renewal of licenses on behalf of the commission.
 - (b) The practice of or the offer to practice real estate brokerage business in this state by individual licensed real estate brokers or real estate salespersons as a corporation, limited liability company, [or] partnership or limited liability partnership, a material part of the

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business of which includes real estate brokerage, is permitted, provided (1) the personnel of such corporation, limited liability company, [or] partnership or limited liability partnership who engage in the real estate brokerage business as real estate brokers or real estate salespersons, and the real estate brokers whose ownership, control, membership or partnership interest is credited toward the requirements of subdivision (3) of this subsection, are licensed or exempt from licensure under this chapter, (2) the corporation, limited liability company, [or] partnership or limited liability partnership has been issued a real estate broker license by the commission as provided in this section and has paid the license or renewal fee required for a real estate broker's license as set forth in section 20-314, and (3) except for a publicly traded corporation (A) with respect to a corporation other than a nonstock corporation, one or more real estate brokers own or control fifty-one per cent or more of the total issued shares of the corporation, (B) with respect to a nonstock corporation, one or more real estate brokers constitute at least fifty-one per cent of the members of the nonstock corporation, (C) with respect to a limited liability company, one or more real estate brokers own or control at least fiftyone per cent of the interest in the limited liability company, as defined in section 34-243a, or (D) with respect to a partnership or limited liability partnership, one or more real estate brokers' partnership interest, as defined in section 34-301, constitutes at least fifty-one per cent of the total partnership interest. No such corporation, limited liability company, [or] partnership or limited liability partnership shall be relieved of responsibility for the conduct or acts of its agents, employees or officers by reason of its compliance with this section, nor shall any individual practicing real estate brokerage be relieved of responsibility for real estate services performed by reason of the individual's employment or relationship with such corporation, limited liability company, [or] partnership or limited liability partnership. The Real Estate Commission may refuse to authorize the issuance or renewal of a license if any facts exist that would entitle the commission to suspend or revoke an existing license.

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(c) A corporation, limited liability company, [or] partnership or limited liability partnership desiring a real estate broker license shall file with the commission or the commissioner an application on such forms and in such manner as prescribed by the Department of Consumer Protection. Each such corporation, limited liability company, [or] partnership or limited liability partnership shall file with the commission a designation of at least one individual licensed or qualified to be licensed as a real estate broker in this state who shall be in charge of the real estate brokerage business of such corporation, limited liability company, [or] partnership or limited liability partnership in this state. Such corporation, limited liability company, [or] partnership or limited liability partnership shall notify the commission of any change in such designation not later than thirty days after such change becomes effective.

- (d) The Real Estate Commission may impose a fine of not more than one thousand dollars on any corporation, limited liability company, [or] partnership or limited liability partnership that engages in real estate business without a license required by this section. Any such imposition of a fine by the commission shall be a proposed final decision and submitted to the commissioner in accordance with the provisions of subsection (b) of section 21a-7, as amended by this act.
- Sec. 5. Section 21a-150f of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2017*):
- (a) A bottler shall process and package any water bottled for sale, in accordance with 21 CFR 110, 21 CFR 117, 21 CFR 129 and any regulation adopted in accordance with the provisions of sections 21a-150 to 21a-150j, inclusive.
- 338 (b) No bottler shall process or bottle water using any line or 339 equipment through which anything other than water from an 340 approved source is passed, except that a bottler who bottles or 341 processes water by using any such line or equipment, as of October 1, 342 1986, may continue to bottle water in such manner provided such

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bottled water complies with the bottled water quality standards set forth in 21 CFR 165.110 and 21 USC 342 and provided, in the event such bottler renovates a bottling production process or expands operations, such bottler shall establish a dedicated line for the processing of bottled water only.

Sec. 6. Section 21a-11 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2017*):

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- (a) The Commissioner of Consumer Protection may, subject to the provisions of chapter 67, employ such agents and assistants as are necessary to enforce the provisions of the general statutes wherein said commissioner is empowered to carry out the duties and responsibilities assigned to him or his department. For the purpose of inquiring into any suspected violation of such provisions, the commissioner and his deputy and assistants shall have free access, at all reasonable hours, to all places and premises, homes and apartments of private families keeping no boarders excepted.
- (b) On the tender of the market price, the commissioner or his deputy may take from any person, firm or corporation samples of any article which he suspects is sold, offered for sale, kept with intent to sell, made or manufactured contrary to any provision of this chapter or related chapters under the jurisdiction of said commissioner. He may analyze such samples or have them analyzed by a state chemist or by an experiment station or by the laboratories of the Department of Public Health, and a sworn or affirmed certificate by such analyst shall be prima facie evidence of the ingredients and constituents of the samples analyzed. If such analysis shows that any such sample does not conform to the requirements of law, and gives the commissioner or his deputy reasonable grounds for believing that any provision of this chapter or related chapters under his jurisdiction has been violated, he shall cause such violator to be prosecuted. Any person who refuses the access provided for herein to the commissioner, his deputy or assistants, or who refuses to sell the samples provided for herein, shall be guilty of a class D misdemeanor. Evidence of violation of any

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provision of this section shall be prima facie evidence of wilful 376 377 violation.

- 378 (c) The commissioner may, subject to the provisions of chapter 54, 379 revoke, suspend or deny any license or registration issued by the 380 department in the event that such licensee or registrant, including, but not limited to, an owner of any business entity holding such license or 381 382 registration, owes moneys to any guaranty fund or account maintained or used by the department, including, but not limited to, the Home 383 384 Improvement Guaranty Fund established pursuant to section 20-432, 385 the New Home Construction Guaranty Fund established pursuant to section 20-417i, the Connecticut Health Club Guaranty Fund 386 387 established pursuant to section 21a-226, the Real Estate Guaranty Fund 388 established pursuant to section 20-324a and the privacy protection 389 guaranty and enforcement account established pursuant to section 42-390 472a.
- 391 Sec. 7. Section 20-571 of the general statutes is repealed and the 392 following is substituted in lieu thereof (*Effective July 1, 2017*):
- 393 As used in sections 20-570 to 20-630, inclusive, unless the context 394 otherwise requires:
- 395 (1) "Administer" means the direct application of a drug or device to 396 the body of a patient or research subject by injection, inhalation, 397 ingestion or any other means;
- 398 (2) "Care-giving institution" means an institution that provides 399 medical services and is licensed, operated, certified or approved by the Commissioner of Public Health, the Commissioner of Developmental 400 Services or the Commissioner of Mental Health and Addiction 401 402 Services;
- 403 (3) "Commission" means the Commission of Pharmacy appointed under the provisions of section 20-572; 404
- 405 "Commissioner" means the Commissioner of Consumer

406 Protection;

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- 407 (5) "Compound" means to combine, mix or put together two or 408 more ingredients pursuant to a prescription and includes the 409 preparation of drugs or devices in anticipation of prescriptions based 410 on routine, regularly-observed prescribing patterns;
- 411 (6) "Correctional or juvenile training institution" means a facility for 412 the detention or incarceration of persons convicted or accused of 413 crimes or offenses or for training of delinquent juveniles, including 414 those state facilities under the jurisdiction of the Commissioner of 415 Correction, training schools for delinquent juveniles and any other 416 facilities operated by the state or municipalities for such detention, 417 incarceration or training;
- (7) "Device" means instruments, apparatuses and contrivances, including their components, parts and accessories, intended (A) for use in the diagnosis, cure, mitigation, treatment or prevention of disease in humans or other animals, or (B) to affect the structure or any function of the body of humans or other animals, but does not mean contact lenses;
 - (8) "Department" means the Department of Consumer Protection;
 - (9) "Dispense" means those acts of processing a drug or device for delivery or for administration for a patient pursuant to a prescription consisting of: (A) Comparing the directions on the label with the directions on the prescription to determine accuracy; (B) the selection of the drug or device from stock to fill the prescription; (C) the counting, measuring, compounding or preparation of the drug or device; (D) the placing of the drug or device in the proper container; (E) the affixing of the label to the container; and (F) the addition to a written prescription of any required notations. "Dispense" does not include the acts of delivering a drug or device to a patient or of administering the drug or device to the patient;
- 436 (10) "Dispensing outpatient facility" means a facility operated by a

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corporation or municipality which provides medical services to patients on an outpatient basis and which maintains stocks of drugs for dispensing of drugs on a regular basis to patients for use off the

440 premises;

- (11) "Drug" means (A) an article recognized in the official United States Pharmacopoeia, official Homeopathic Pharmacopoeia of the United States or official National Formulary, or any supplement to any of them, (B) an article intended for use in the diagnosis, cure, mitigation, treatment or prevention of disease in humans or other animals, (C) an article, other than food, intended to affect the structure or any function of the body of humans or any other animal, and (D) an article intended for use as a component of any article specified in this subdivision, but does not include a device;
- (12) "Institutional pharmacy" means that area within a care-giving institution or within a correctional or juvenile training institution, commonly known as the pharmacy, that is under the direct charge of a pharmacist and in which drugs are stored and dispensed;
 - (13) "Legend device" means a device that is required by applicable federal or state law to be dispensed pursuant only to a prescription or is restricted to use by prescribing practitioners only or that, under federal law, is required to bear either of the following legends: (A) "RX ONLY" IN ACCORDANCE WITH GUIDELINES ESTABLISHED IN THE FEDERAL FOOD, DRUG AND COSMETIC ACT; or (B) "CAUTION: FEDERAL LAW RESTRICTS THIS DEVICE FOR USE BY OR ON THE ORDER OF A LICENSED VETERINARIAN.";
- (14) "Legend drug" means a drug that is required by any applicable federal or state law to be dispensed pursuant only to a prescription or is restricted to use by prescribing practitioners only, or means a drug that, under federal law, is required to bear either of the following legends: (A) "RX ONLY" IN ACCORDANCE WITH GUIDELINES ESTABLISHED IN THE FEDERAL FOOD, DRUG AND COSMETIC ACT; or (B) "CAUTION: FEDERAL LAW RESTRICTS THIS DRUG

469 FOR USE BY OR ON THE ORDER OF A LICENSED

- 470 VETERINARIAN.";
- 471 (15) "Medical device and oxygen provider" means a person who
- 472 distributes devices or oxygen pursuant to a medical order or
- 473 prescription, except if such person already maintains an active
- 474 pharmacy license;
- [(15)] (16) "Nonlegend drug" means a drug that is not a legend drug;
- 476 [(16)] (17) "Person" means an individual, corporation, business trust,
- estate trust, partnership, association, joint venture or any other legal or
- 478 commercial entity;
- [(17)] (18) "Pharmacist" means an individual who is licensed to
- practice pharmacy under the provisions of section 20-590, 20-591, 20-
- 481 592 or 20-593, and who is thereby recognized as a health care provider
- 482 by the state of Connecticut;
- [(18)] (19) "Pharmacy" means a place of business where drugs and
- devices may be sold at retail and for which a pharmacy license has
- been issued to an applicant under the provisions of section 20-594;
- [(19)] (20) "Pharmacy intern" means an individual registered under
- the provisions of section 20-598;
- 488 [(20)] (21) "Pharmacy technician" means an individual who is
- 489 registered with the department and qualified in accordance with
- 490 section 20-598a;
- [(21)] (22) "Practice of pharmacy" or "to practice pharmacy" means
- 492 the sum total of knowledge, understanding, judgments, procedures,
- 493 securities, controls and ethics used by a pharmacist to assure optimal
- safety and accuracy in the distributing, dispensing and use of drugs
- and devices;
- 496 [(22)] (23) "Prescribing practitioner" means an individual licensed by
- 497 the state of Connecticut, any other state of the United States, the

498 District of Columbia, the Commonwealth of Puerto Rico or any 499 territory or insular possession subject to the jurisdiction of the United 500 States who is authorized to issue a prescription within the scope of the 501 individual's practice; 502 [(23)] (24) "Prescription" means a lawful order of a prescribing 503 practitioner transmitted either orally, in writing or by electronic means 504 for a drug or device for a specific patient; 505 [(24)] (25) "Sale" includes barter, exchange or gift or offer and each 506 such transaction made by a person whether as principal proprietor, 507 agent, servant or employee; [and] 508 [(25)] (26) "Substitute" means to dispense without the prescribing practitioner's express authorization a different drug product than the 509 510 drug product prescribed; [.] 511 (27) "Third-party logistics provider" means a person who distributes 512 drugs, devices or cosmetics while taking possession of the drugs, 513 devices or cosmetics but who does not take title of the drugs, devices 514 or cosmetics; (28) "Virtual manufacturer" means a person who engages in the 515 516 manufacture of drugs, devices or cosmetics for which such person: (A) 517 Owns the new drug application or abbreviated new drug application 518 number, if a prescription drug; (B) owns the unique device identification number, as available, for a prescription device; (C) 519 520 contracts with a contract manufacturing organization for the physical 521 manufacture of the drugs, devices or cosmetics; (D) is not involved in 522 the physical manufacture of the drugs, devices or cosmetics; and (E) at 523 no time takes physical possession of or stores the drugs, devices or 524 cosmetics; and 525 (29) "Virtual wholesale distributor" means a person who facilitates 526 or brokers the transfer of drugs, devices or cosmetics without taking 527 physical possession of the drugs, devices or cosmetics.

Sec. 8. Section 21a-70 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2017*):

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(a) As used in this section: (1) ["Wholesaler"] "Drugs", "devices" and "cosmetics" have the same meanings as defined in section 21a-92, "wholesaler" or "distributor" means a person, including, but not limited to, a medical device and oxygen provider, a third-party logistics provider, a virtual manufacturer or a virtual wholesale distributor, as such terms are defined in section 20-571, as amended by this act, whether within or without the boundaries of the state of Connecticut, who supplies drugs, [medical] devices or cosmetics prepared, produced or packaged by manufacturers, to other wholesalers, manufacturers, distributors, hospitals, prescribing practitioners, as defined in subdivision (22) of section 20-571, as amended by this act, pharmacies, federal, state or municipal agencies, clinics or any other person as permitted under subsection (h) of this section, except that: (A) A retail pharmacy or a pharmacy within a licensed hospital that supplies to another such pharmacy a quantity of a noncontrolled drug or a schedule II, III, IV or V controlled substance normally stocked by such pharmacies to provide for the immediate needs of a patient pursuant to a prescription or medication order of an authorized practitioner, (B) a pharmacy within a licensed hospital that supplies drugs to another hospital or an authorized practitioner for research purposes, (C) a retail pharmacy that supplies a limited quantity of a noncontrolled drug or of a schedule II, III, IV or V controlled substance for emergency stock to a practitioner who is a medical director of a chronic and convalescent nursing home, of a rest home with nursing supervision or of a state correctional institution, and (D) a pharmacy within a licensed hospital that contains another hospital wholly within its physical structure that supplies to such contained hospital a quantity of a noncontrolled drug or a schedule II, III, IV, or V controlled substance normally stocked by such hospitals to provide for the needs of a patient, pursuant to a prescription or medication order of an authorized practitioner, receiving inpatient care on a unit that is operated by the contained hospital shall not be

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deemed a wholesaler under this section; (2) "manufacturer" means (A) a person, whether within or without the boundaries of the state of Connecticut, who produces, prepares, cultivates, grows, propagates, compounds, converts or processes, directly or indirectly, by extraction from substances of natural origin or by means of chemical synthesis or by a combination of extraction and chemical synthesis, or who packages, repackages, labels or relabels a container under such manufacturer's own or any other trademark or label any drug, device or cosmetic for the purpose of selling such items, or (B) a sterile compounding pharmacy, as defined in section 20-633b, as amended by this act, that dispenses sterile pharmaceuticals without a prescription or a patient-specific medical order; (3) "drug", "device" and "cosmetic" have the same meanings as provided in section 21a-92; and (4) "commissioner" means the Commissioner of Consumer Protection or his or her designee.

(b) No wholesaler or manufacturer shall operate as such until he has received a certificate of registration issued by the commissioner, which certificate shall be renewed annually, provided no such certificate shall be required of a manufacturer, except a sterile compounding pharmacy, as defined in subsection (a) of section 20-633b, as amended by this act, whose principal place of business is located outside the state, who is registered with the federal Food and Drug Administration or any successor agency and who files a copy of such registration with the commissioner. A fee of one hundred ninety dollars shall be charged for each wholesaler's certificate and renewal thereof. A separate certificate and corresponding fee is required for each location existing in this state and for each location existing outside of this state that distributes products into this state. The fee for a manufacturer's certificate and renewal thereof shall be two hundred eighty-five dollars for manufacturers employing not more than five licensed pharmacists or qualified chemists or both; three hundred seventy-five dollars for manufacturers employing not more than ten licensed pharmacists or qualified chemists or both; and nine hundred forty dollars for manufacturers employing more than ten licensed

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596 pharmacists or qualified chemists or both. No such certificate shall be 597 issued to a manufacturer unless such drugs, [medical] devices or 598 cosmetics are manufactured or compounded under the direct 599 supervision of a licensed pharmacist or a qualified chemist. No 600 certificate of registration shall be issued under this section until the 601 applicant has furnished proof satisfactory to the commissioner that the 602 applicant is equipped as to facilities and apparatus to properly carry 603 on the business described in his application and that the applicant 604 conforms to chapter 418 and regulations adopted thereunder.

- (c) The commissioner shall have the right to deny a certificate of registration if he determines that the issuance of such registration is inconsistent with the public interest. In determining the public interest, the commissioner shall consider, at a minimum, the following factors:
- (1) Any convictions or regulatory actions involving the applicant under any federal, state or local law relating to drug samples, wholesale or retail drug distribution, or distribution or possession of drugs including controlled substances;
- 613 (2) Any felony convictions of the applicant under federal, state or local laws;
- 615 (3) The applicant's past experience in the manufacture or distribution of drugs;
- (4) The furnishing by the applicant of false or fraudulent material in any application made in connection with drug manufacturing or distribution;
- (5) Suspension, revocation or other sanction by federal, state or local
 government of any license or registration currently or previously held
 by the applicant for the manufacture or distribution of any drugs;
- 623 (6) Compliance with licensing or registration requirements under previously granted licenses or registrations;
- (7) Compliance with requirements to maintain or make available to

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the commissioner or to federal, state or local law enforcement officials those records required by any federal or state statute or regulation;

- 628 (8) Failure to provide adequate control against the diversion, theft 629 and loss of drugs;
- 630 (9) Provision of required security for legend drugs and, in the case 631 of controlled substances, compliance with security requirements for 632 wholesalers set forth in regulations adopted under chapter 420b; and
- 633 (10) Compliance with all regulations adopted to enforce the provisions of this section.
- (d) The commissioner may suspend, revoke or refuse to renew a registration, or may issue a letter of reprimand or place a registrant on probationary status, for sufficient cause. Any of the following shall be sufficient cause for such action:
- (1) The furnishing of false or fraudulent information in any application or other document filed with the commissioner;
- 641 (2) Any criminal conviction of the registrant under any federal or 642 state statute concerning drugs;
- (3) The suspension, revocation or other restriction or penalty issued against a license or registration related to drugs;
- (4) Failure to provide adequate control against the diversion, theft and loss of drugs; or
- (5) A violation of any provision of any federal or state statute or regulation concerning drugs.
- (e) Wholesalers and manufacturers shall operate in compliance with
 applicable federal, state and local statutes, regulations and ordinances,
 including any applicable laws concerning controlled substances, drug
 product salvaging or reprocessing.
- (f) Wholesalers and manufacturers shall permit the commissioner,

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or his authorized representatives, to enter and inspect their premises and delivery vehicles, and to audit their records and written operating procedures, at reasonable times and in a reasonable manner.

- (g) Before denying, suspending, revoking or refusing to renew a registration, or before issuing a letter of reprimand or placing a registrant on probationary status, the commissioner shall afford the applicant or registrant an opportunity for a hearing in accordance with the provisions of chapter 54. Notice of such hearing may be given by certified mail. The commissioner may subpoena witnesses and require the production of records, papers and documents pertinent to such hearing.
- (h) No wholesaler or manufacturer shall sell any drugs except to the state or any political subdivision thereof, to another manufacturer or wholesaler, to any hospital recognized by the state as a general or specialty hospital, to any institution having a full-time pharmacist who is actively engaged in the practice of pharmacy in such institution not less than thirty-five hours a week, to a chronic and convalescent nursing home having a pharmacist actively engaged in the practice of pharmacy based upon the ratio of one-tenth of one hour per patient per week but not less than twelve hours per week, to a practicing physician, podiatrist, dentist, optometrist or veterinarian or to a licensed pharmacy or a store to which a permit to sell nonlegend drugs has been issued as provided in section 20-624. The commissioner may adopt such regulations as are necessary to administer and enforce the provisions of this section.
- 679 (i) Any person who violates any provision of this section shall be 680 fined not more than five hundred dollars or imprisoned not more than six months, or both.
- 682 Sec. 9. Section 20-579 of the general statutes is repealed and the 683 following is substituted in lieu thereof (*Effective July 1, 2017*):
- 684 (a) The commission may refuse to authorize the issuance of a 685 temporary permit to practice pharmacy, may refuse to authorize the

issuance or renewal of a license to practice pharmacy, a license to 686 687 operate a pharmacy or a registration of a pharmacy intern or pharmacy 688 technician, and may revoke, [or] suspend or place conditions on a 689 license or temporary permit to practice pharmacy, a license to operate 690 a pharmacy, or a registration of a pharmacy intern or a pharmacy 691 technician, and may assess a civil penalty of up to one thousand 692 dollars or take other action permitted in subdivision (7) of section 21a-693 7, as amended by this act, if the applicant or holder of the license, temporary permit or registration: (1) Has violated a statute or 694 695 regulation relating to drugs, devices or the practice of pharmacy of this 696 state, any state of the United States, the United States, the District of 697 Columbia, the Commonwealth of Puerto Rico, any territory or insular 698 possession subject to the jurisdiction of the United States or a foreign 699 jurisdiction; (2) has been convicted of violating any criminal statute 700 relating to drugs, devices or the practice of pharmacy of this state, any 701 state of the United States, the United States, the District of Columbia, 702 the Commonwealth of Puerto Rico, any territory or insular possession 703 subject to the jurisdiction of the United States or a foreign jurisdiction; 704 (3) has been disciplined by, or is the subject of pending disciplinary 705 action or an unresolved complaint before, the duly authorized 706 pharmacy disciplinary agency of any state of the United States, the 707 United States, the District of Columbia, the Commonwealth of Puerto 708 Rico, any territory or insular possession subject to the jurisdiction of 709 the United States or a foreign jurisdiction; (4) has been refused a 710 license or registration or renewal of a license or registration by any 711 state of the United States, the United States, the District of Columbia, 712 the Commonwealth of Puerto Rico, any territory or insular possession 713 subject to the jurisdiction of the United States or a foreign jurisdiction 714 based on grounds that are similar to grounds on which Connecticut 715 could refuse to issue or renew such a license or registration; (5) has 716 illegally possessed, diverted, sold or dispensed drugs or devices; (6) 717 abuses or excessively uses drugs, including alcohol; (7) has made false, 718 misleading or deceptive representations to the public or the 719 commission; (8) has maintained exclusive telephone lines to, has 720 maintained exclusive electronic communication with, or has exclusive

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access to computers located in offices of prescribing practitioners, nursing homes, clinics, hospitals or other health care facilities; (9) has substituted drugs or devices except as permitted in section 20-619; (10) has accepted, for return to regular stock, any drug already dispensed in good faith or delivered from a pharmacy, and exposed to possible and uncontrolled contamination or substitution; (11) has split fees for professional services, including a discount or rebate, with a prescribing practitioner or an administrator or owner of a nursing home, hospital or other health care facility; (12) has entered into an agreement with a prescribing practitioner or an administrator or owner of a nursing home, hospital or other health care facility for the compounding or dispensing of secret formula or coded prescriptions; (13) has performed or been a party to a fraudulent or deceitful practice or transaction; (14) has presented to the commission a diploma, license or certificate illegally or fraudulently obtained, or obtained from a college or school of pharmacy not approved by the commission; (15) has performed incompetent or negligent work; (16) has falsified a continuing education document submitted to the commission or department or a certificate retained in accordance with the provisions of subsection (d) of section 20-600; (17) has permitted a person not licensed to practice pharmacy in this state to practice pharmacy in violation of section 20-605, to use a pharmacist license or pharmacy display document in violation of section 20-608, or to use words, displays or symbols in violation of section 20-609; [or] (18) has failed to maintain the entire pharmacy premises, its components and contents in a clean, orderly and sanitary condition; (19) has failed to demonstrate adherence to applicable provisions of United States Pharmacopeia, Chapter 797, Pharmaceutical Compounding-Sterile Preparations, as amended from time to time; or (20) has failed to demonstrate adherence to applicable provisions of United States Pharmacopeia, Chapter 795, Pharmaceutical Compounding-Nonsterile Preparations, as amended from time to time.

(b) The commission may refuse to authorize the issuance of a temporary permit to practice pharmacy, may refuse to authorize the

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755 issuance or renewal of a license to practice pharmacy, a license to 756 operate a pharmacy or a registration of a pharmacy intern or pharmacy 757 technician, and may revoke, [or] suspend or place conditions on a 758 license or temporary permit to practice pharmacy, a license to operate 759 a pharmacy, or a registration of a pharmacy intern or a pharmacy 760 technician, or take other action permitted in subdivision (7) of section 761 21a-7, as amended by this act, if the commission determines that the 762 applicant or holder of the license, temporary permit or registration has 763 a condition including, but not limited to, physical illness or loss of skill 764 or deterioration due to the aging process, emotional disorder or mental 765 illness, abuse or excessive use of drugs or alcohol that would interfere 766 with the practice of pharmacy, operation of a pharmacy or activities as 767 a pharmacy intern or pharmacy technician, provided the commission may not, in taking action against a license, temporary permit or 768 769 registration holder on the basis of such a condition, violate the 770 provisions of section 46a-73 or 42 USC Section 12132 of the federal 771 Americans with Disabilities Act.

- Sec. 10. Subdivision (13) of section 20-330 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2017*):
- 775 (13) "Sheet metal work" means the onsite layout, installation, 776 erection, replacement, repair or alteration, including, but not limited 777 to, onsite testing and balancing of related life safety components, 778 environmental air, heating, ventilating and air conditioning systems by manipulating, adjusting or controlling such systems for optimum 779 780 balance performance of any duct work [systems, both] system, ferrous, 781 [and] nonferrous or other material for ductwork systems, components, 782 devices, air louvers or accessories, in accordance with the State 783 **Building Code**;
- Sec. 11. Section 20-672 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2017*):
- 786 (a) Any person seeking a certificate of registration as a homemaker-

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787 companion agency shall apply to the Commissioner of Consumer 788 Protection, in writing, on a form provided by the commissioner. The 789 application shall include the applicant's name, residence address, 790 business address, business telephone number and such other 791 information as the commissioner may require. An applicant shall also 792 be required to submit to state and national criminal history records checks in accordance with section 29-17a and to certify under oath to 793 794 the commissioner that: (1) Such agency complies with the 795 requirements of section 20-678 concerning employee comprehensive 796 background checks, (2) such agency provides all persons receiving 797 homemaker or companion services with a written individualized 798 contract or service plan that specifically identifies the anticipated 799 scope, type, frequency and duration of homemaker or companion 800 services provided by the agency to the person, (3) such agency 801 maintains a surety bond of not less than ten thousand dollars coverage, 802 which coverage shall include theft by an employee of such agency 803 from a person for whom homemaker or companion services are 804 provided by the agency, and (4) all records maintained by such agency 805 shall be open, at all reasonable hours, for inspection, copying or audit 806 by the commissioner.

(b) Each application for a certificate of registration as a homemakercompanion agency shall be accompanied by a fee of three seventy-five hundred dollars.

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- (c) Upon the failure by a homemaker-companion agency to comply with the registration provisions of this section, the Attorney General, at the request of the Commissioner of Consumer Protection, is authorized to apply in the name of the state of Connecticut to the Superior Court for an order temporarily or permanently restraining and enjoining a homemaker-companion agency from continuing to do business in the state.
- Sec. 12. Section 21a-4 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2017*):

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(a) The Commissioner of Consumer Protection may refund to any permittee the fee paid by him for any permit issued by said commissioner and returned to him prior to its use, provided application for such refund shall be made not later than sixty days after the effective date of such permit.

- (b) The Commissioner of Consumer Protection may impose a fine of twenty dollars on any applicant for a permit or license issued by the Commissioner of Consumer Protection who issues to the commissioner a check drawn on the account of such applicant in payment of a permit or license fee and whose check is returned to the Department of Consumer Protection as uncollectible. In addition, the commissioner may require the applicant to pay to the department any fees charged by a financial institution to the department as a result of such returned check.
- (c) The Commissioner of Consumer Protection may impose a fine on any applicant who fails to renew a license, permit, certificate or registration not later than the expiration date of such license, permit, certificate or registration. The amount of the fine shall be equal to ten per cent of the renewal fee but shall not be less than ten dollars or more than one hundred dollars.
- (d) Notwithstanding any other provision of the general statutes, each applicant whose license has lapsed for a period longer than the length of time allowing automatic reinstatement may apply for reinstatement to the appropriate board. Upon receipt of such application and payment of the fee, the [board] department may, at its discretion, reinstate a lapsed license without examination, provided such application for reinstatement is accompanied by a notarized letter and supporting documentation attesting to the applicant's related work experience in their occupation or profession from the time he or she had let such license lapse. Such applicant, upon approval by the [board] department, shall pay all back license and late fees in order for such license to be reinstated.

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(e) When a license, permit, certification or registration has lapsed for a period longer than the length of time allowing automatic reinstatement, or the general statutes are silent as to the period of time during which reinstatement of the license, permit, certification or registration is permissible, an applicant may apply for reinstatement to the department. Upon receipt of such application and payment of the corresponding application fee, the department may, if application was made not later than three years after the date allowing automatic reinstatement, reinstate the lapsed license, permit, certification or registration without examination. The applicant, prior to reinstatement by the department, shall pay all back license and late fees, unless the applicant attests that he or she has not worked in the applicable occupation or profession in this state while the license, permit, certification or registration was lapsed, in which case the applicant shall pay the current year's renewal fee for reinstatement. If the license, permit, certification or registration lapse is three years or more, the applicant shall apply for a new license, permit, certification or registration.

Sec. 13. (NEW) (Effective July 1, 2017) (a) If food becomes adulterated pursuant to section 21a-101 of the general statutes, the person who maintained physical custody of the food at the time the food became adulterated shall be liable for all costs and expenses incurred by the Department of Consumer Protection in investigating, containing, removing, monitoring, mitigating and disposing of such adulterated food, as well as any associated legal expenses. If such adulteration was caused by the discharge, spillage or uncontrolled loss of a food product, the person who maintained physical custody of the food at the time the food became adulterated shall be liable for all associated costs and expenses of cleanup and disposal. Upon request of the Commissioner of Consumer Protection, the Attorney General may bring a civil action to recover all such costs and expenses.

(b) In accordance with sections 21a-116 and 21a-118 of the general statutes, the commissioner or the commissioner's authorized agent may investigate and take samples of foods. In addition to the seizure

powers granted to the commissioner pursuant to section 21a-96 of general statutes, the commissioner or the commissioner's authorized agent may seize, condemn, destroy, or in any other manner render unsalable, any adulterated foods he or she deems to be poisonous, deleterious to public health or otherwise unsafe.

- (c) If the person who maintained physical custody of the food at the time the food became adulterated cannot be reasonably identified or contacted by the department, the commissioner may contract with a third party to contain, remove and dispose of the adulterated food to mitigate the impact on public health and safety.
- Sec. 14. Subsection (a) of section 20-633b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2017):
- (a) As used in this section:
- (1) "Medical order" means a written, oral or electronic order by a prescribing practitioner, as defined in section 20-14c, for a drug to be dispensed by a pharmacy for administration to a patient;
- (2) "Sterile compounding pharmacy" means a pharmacy, as defined in section [20-594, or] 20-571, as amended by this act, a nonresident pharmacy registered pursuant to section 20-627, that dispenses or compounds sterile pharmaceuticals; and
- 906 (3) "Sterile pharmaceutical" means any dosage form of a drug, 907 including, but not limited to, parenterals, injectables, surgical irrigants 908 and ophthalmics devoid of viable microorganisms.
- 909 Sec. 15. Subsection (d) of section 42-200 of the general statutes is 910 repealed and the following is substituted in lieu thereof (*Effective July* 911 1, 2018):
- 912 (d) A funeral service establishment shall maintain a copy of all 913 funeral service contracts entered into or assigned to such 914 establishment. [and a] A funeral service establishment shall also

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maintain an electronic list of each escrow account or insurance policy established pursuant to such contracts. Such electronic list shall be maintained in an electronically readable format and shall include (1) the name and address of the escrow agent and the dates and amount of funds deposited with such agent, or the name and address of the insurance company issuing the individual or group life insurance policy, [and] (2) the name and address of the purchaser of the funeral services contract, (3) the name, address, date of birth and Social Security number of the beneficiary, and (4) the value of the contract at the time of the inception of the contract and a listing of any additional payments made pursuant to the contract. Such contracts shall be maintained by the funeral service establishment for a period of six after the completion of the contracted services. Such establishment shall disclose such information, upon request, to the Commissioner of Public Health, the Commissioner of Consumer Protection, the Commissioner of Social Services or the Attorney General.

932 Sec. 16. Subsection (e) of section 20-417b of the general statutes is 933 repealed and the following is substituted in lieu thereof (*Effective July* 934 1, 2017):

(e) All certificates issued under the provisions of this chapter shall expire biennially and may be renewed by the applicant not later than six months after the expiration date of such certificate. The fee for renewal of a certificate shall be the same as charged for the original application but shall be charged on a pro rata basis, based upon the application date for such renewal.

This act shall take effect as follows and shall amend the following sections:			
Section 1	July 1, 2017	21a-7	
Sec. 2	July 1, 2017	21a-8(a)	
Sec. 3	July 1, 2017	30-17(a)	
Sec. 4	July 1, 2017	20-312	
Sec. 5	July 1, 2017	21a-150f	

Sec. 6	July 1, 2017	21a-11
Sec. 7	July 1, 2017	20-571
Sec. 8	July 1, 2017	21a-70
Sec. 9	July 1, 2017	20-579
Sec. 10	October 1, 2017	20-330(13)
Sec. 11	July 1, 2017	20-672
Sec. 12	July 1, 2017	21a-4
Sec. 13	July 1, 2017	New section
Sec. 14	July 1, 2017	20-633b(a)
Sec. 15	July 1, 2018	42-200(d)
Sec. 16	July 1, 2017	20-417b(e)